

FINTECH REGISTRATION CERTIFICATE

BROKER-DEALER REGISTRATION

Certificate No: 098765

This is to certify that the broker-dealer identified herein has been duly registered and authorized to conduct broker-dealer activities in accordance with the applicable requirements of the relevant securities laws and regulations. This registration is granted upon satisfactory submission and review of the prescribed documentation and fulfillment of all statutory and regulatory conditions. The registration evidenced by this certificate remains valid only for so long as the registrant continues to comply with all ongoing obligations imposed by the competent regulatory authority.

REGISTRATION DETAILS

Firm Name:	Lozand Investment LLC
Registration Number:	CRD-098765
Date of Registration:	June 23, 2024.
Issuing Authority	United States Financial Regulatory Authority
Status	ACTIVE

This registration is issued subject to, and shall be construed in accordance with, all applicable securities laws, rules, regulations, directives, and supervisory requirements in force from time to time. The registrant shall at all times maintain compliance with ongoing reporting, conduct, capital, and governance obligations as may be prescribed by the competent authority. Any material breach, misrepresentation, or failure to comply may result in suspension, revocation, or other regulatory action as permitted by law.

Laura McKenney

Authorized Signatory

Securities and Exchange Commission

This certificate must be displayed at the principal place of business.